

270 000 PCT/PTO 0 3 MAR 2005

PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|  |   |    |   |                          |                                  |
|--|---|----|---|--------------------------|----------------------------------|
| Substitute for form 1449A/B/PTO  |   |    |   | <b>Complete if Known</b> |                                  |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |   |    |   | Application Number       | Not Yet Assigned 21854-00051-US1 |
|  |   |    |   | Filing Date              | Concurrently Herewith            |
|  |   |    |   | First Named Inventor     | Barry H. Harrison                |
|  |   |    |   | Art Unit                 | MTA 2827                         |
|  |   |    |   | Examiner Name            | Not Yet Assigned David Lam       |
| Sheet  | 1 | of | 1 | Attorney Docket Number   | 21854-00051-US1                  |

| U.S. PATENT DOCUMENTS |                          |  |                                |  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
| DL                    | AA                       | US-5 986 931 A                           | 11-16-1999                     | CAYWOOD  |   |
| DL                    | AB                       | US-5 528 547 A                           | 06-18-1996                     | ARITOME et al.                                     |   |
| DL                    | AC                       | US-5 465 249 A                           | 11-07-1995                     | COOPER, JR. et al.                                 |   |

| FOREIGN PATENT DOCUMENTS |             |   |  |                                   |  |   |                |
|--------------------------|-------------|---|--|-----------------------------------|--|---|----------------|
| Examiner<br>Initials*    | Cite<br>No. | Foreign Patent Document   |  | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>3</sup> |
|                          |             | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |  |                                   |  |   |                |
|                          |             |   |  |                                   |  |   |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                          |   |                |
|---------------------------------|--------------------------|---|----------------|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 | CA                       | MOSFET design simplifies DRAM. EET Times [retrieved on 2003-10-07]. Retrieved from the internet: <URL:www.eetimes.com/printableArticle?doc_id=OEG20020510S0065>   |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

|                       |             |                    |            |
|-----------------------|-------------|--------------------|------------|
| Examiner<br>Signature | /David Lam/ | Date<br>Considered | 02/06/2007 |
|-----------------------|-------------|--------------------|------------|

NOV. 7. 2005 4:40PM

CBL&H 202 293 RECEIVED  
CENTRAL FAX CENTER

NO. 8965 P. 5/5

NOV 07 2005

PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|  |                        |                          |                                       |
|--|------------------------|--------------------------|---------------------------------------|
| Substitute for form 1448A/B/PTO  |                        | <b>Complete If Known</b> |                                       |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |                        | Application Number       | 10/526,382-Conf. #3838                |
|  |                        | Filing Date              | March 3, 2006                         |
|  |                        | First Named Inventor     | Barry H. Harrison                     |
|  |                        | Art Unit                 | <del>NTA</del> 2827                   |
|  |                        | Examiner Name            | <del>Not Yet Assigned</del> David Lam |
| Sheet 1 of 1   | Attorney Docket Number | 21854-00051-US1          |                                       |

| U.S. PATENT DOCUMENTS |                       |   |                                |  |   |
|-----------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
| DL                    | AA*                   | US-6,939,756  | 09-06-2005                     | Chung et al.                                       |   |

| FOREIGN PATENT DOCUMENTS |                       |  |                                |  |   |
|--------------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> -Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                          |                       |  |                                |  |   |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.88(a)(2)(ii)) because that application was filed after June 30, 2003 or is available in the IPW. \*Applicant's unique citation designation number (optional). \*See Kinda Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                       |  |                |
|---------------------------------|-----------------------|--|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |
|                                 |                       |  |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Applicant's unique citation designation number (optional). \*Applicant is to place a check mark here if English language Translation is attached.

|                    |             |                 |            |
|--------------------|-------------|-----------------|------------|
| Examiner Signature | /David Lam/ | Date Considered | 02/06/2007 |
|--------------------|-------------|-----------------|------------|

426768